

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Needleman et al.)
) Attorney Docket
Serial No.: 09/386,591) 6221/76429
) MON-103.0 CON I
Filed: August 31, 1999)
) Art Group:
For: AN IMMUNOLOGICAL PROCESS AND) 1642
)
) CONSTRUCTS FOR INCREASING)
)
) THE HDL CHOLESTEROL)
)
) CONCENTRATION BY DNA)
)
) VACCINATION)
)
Examiner: J. Anders)

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.97, a list of documents is disclosed on the attached Form PTO-1449 that may be material to the examination of this application.

Listed documents A1-A47, B1-B29, C1-C4 and D1-D3 are patents and published papers that are cited, discussed, and provided in the parental applications, U.S. Patent Application No. 08/785,997, filed on January 21, 1997, U.S. Patent Application No. 08/788,882, filed January 21, 1997, and U.S. Patent Application No. 08/934,367, filed on September 19, 1997. Pursuant to 37 C.F.R. 1.98(d), it is understood that only a list

of art is required inasmuch as the listed art has been provided and discussed previously.

No inferences should be drawn that the attached list represents a comprehensive investigation, or that any material disclosed is equivalent to the subject invention. In addition, none of the documents that have publication dates prior to the priority date of the above application anticipate the invention in this application.

The cited documents disclose numerous specific features. There has been no attempt to list each and every feature disclosed by each document. The Examiner is requested to review the documents and determine the extent of the materiality of the document disclosures with respect to the present invention.

The discussion of any art and the citation of any document herein is not to be construed as an admission that the art or document disclosure is necessarily within the invention field of endeavor, that the art or document disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that the art or document disclosure is otherwise necessarily prior art as


defined by the patent law with respect to the instant invention and application.

Also, there is reserved the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosures of the art and documents recited herein, that may be cited by the Examiner in rejecting a claim in the instant patent application.

The recitation herein of the art and documents is not to be construed as an assertion that more pertinent art could not possibly be in existence.

Respectfully submitted,

By



Edward P. Gamson, Reg. No. 29,381

Enclosures:

Form PTO-1449
Preliminary Amendment
Additional claims fee

Welsh & Katz, Ltd.
22nd Floor
120 South Riverside Plaza
Chicago, Illinois 60606
Phone No. (312) 655-1500
Fax No. (312) 655-1501